

**Remarkable Autism Ltd**  
**The Autism Specialists**

**Data Retention Policy**  
September 2023

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<b>Next Review:</b>	<i>September 2024</i>
<b>Committee:</b>	<i>Finance &amp; Business Resources</i>
<b>Approved by the full Governing Body/Board of Trustees:</b>	<i>November 2023</i>

<b>This policy should be read in conjunction with the following policies:</b>	
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1	<i>Data Protection</i>
2	<i>Data Breach</i>
3	<i>Cyber Security</i>
4	<i>CCTV</i>

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## Introduction

This policy sets out how long employment-related and pupil data will normally be held by the organisation and when that information will be confidentially destroyed in compliance with the terms of the UK General Data Protection Regulation (UK GDPR).

Data will be stored and processed to allow for the efficient operation of the organisation. The organisation's Data Protection policy outlines its duties and obligations under the UK GDPR.

The organisation has a responsibility to maintain its records and record keeping systems. When doing this, the organisation will take account of the following factors:

- The most efficient and effective way of storing records and information;
- The confidential nature of the records and information stored;
- The security of the record systems used;
- Privacy and disclosure; and
- Accessibility of records and record keeping systems.

This policy does not form part of any employee's contract of employment and is not intended to have contractual effect. It does, however, reflect the organisation's current practice, the requirements of current legislation and best practice and guidance. It may be amended by the organisation from time to time and any changes will be notified to employees within one month of the date on which the change is intended to take effect. The organisation may also vary any parts of this procedure, including any time limits, as appropriate in any case.

## Policy

### Retention Schedule Management

Information (hard copy and electronic) will be retained for at least the period specified in the attached retention schedule. When managing records, the organisation will adhere to the standard retention times listed within that schedule.

The retention schedule refers to all records regardless of the media (e.g. paper, electronic, microfilm, photographic etc.) in/on which they are stored. All records will be regularly monitored by conducting regular internal reviews/by using a data log

### Destruction of Records

The schedule is a relatively lengthy document listing the many types of records used by the organisation and the applicable retention periods for each record type. The retention periods are based on business needs and legal requirements.

Where records have been identified for destruction, they should be disposed of in an appropriate way. All information must be reviewed before destruction to determine whether there are special factors that mean destruction should be delayed, such as potential litigation, complaints or grievances.

All paper records containing personal information, or sensitive policy information should be shredded before disposal where possible. All other paper records should be disposed of by an appropriate waste paper merchant. All electronic information will be deleted.

The organisation maintains a database of records which have been destroyed and who authorised their destruction. When destroying documents, the appropriate staff member should record in this list the following: -

- File reference (or other unique identifier);
- File title/description;
- Number of files;
- Name of the authorising Officer;
- Date destroyed or deleted from system; and
- Person(s) who undertook destruction.

### **Retention of Safeguarding Records**

Any allegations made that are found to be malicious must not be part of the personnel records.

For any other allegations made, the organisation must keep a comprehensive summary of the allegation made, details of how the investigation was looked into and resolved and any decisions reached. This should be kept on the personnel files of the accused.

Any allegations made of sexual abuse should be preserved by the organisation for the term of an inquiry by the Independent Inquiry into Child Sexual Abuse. All other records (for example, the personnel file of the accused) should be retained until the accused has reached normal pension age or for a period of 10 years from the date of the allegation if that is longer. Guidance from the Independent Inquiry Child Sexual Abuse states that prolonged retention of personal data at the request of an Inquiry would not contravene data protection regulation provided the information is restricted to that necessary to fulfil potential legal duties that a School/College may have in relation to an Inquiry.

Whilst the Independent Inquiry into Child Sexual Abuse is ongoing, it is an offence to destroy any records relating to it. At the conclusion of the Inquiry, it is likely that an indication regarding the appropriate retention periods of the records will be made.

### **Archiving**

Where records have been identified as being worthy of preservation over the longer term, arrangements should be made to transfer the records to the archives. A database of the records sent to the archives is maintained by the Senior Administrator. The appropriate staff member, when archiving documents should record in this list the following information: -

- File reference (or other unique identifier);
- File title/description;
- Number of files; and
- Name of the authorising officer.

### **Transferring Information to Other Media**

Where lengthy retention periods have been allocated to records, members of staff may wish to consider converting paper records to other media such as digital media or virtual storage centres (such as cloud storage). The lifespan of the media and the ability to migrate data where necessary should always be considered.

### **Transferring Information to another School/College**

We retain the Pupil's educational record whilst the child remains at the. Once a pupil leaves the School/College, the file should be sent to their next School/College. The responsibility for retention then shifts onto the next School/College. We retain the file for a year following transfer in case any issues arise as a result of the transfer.

We may delay destruction for a further period where there are special factors such as potential litigation.

### **Responsibility and Monitoring**

The TSM has primary and day-to-day responsibility for implementing this Policy. The Data Protection Officer, in conjunction with the School/College is responsible for monitoring its use and effectiveness and dealing with any queries on its interpretation. The Data Protection Officer will consider the suitability and adequacy of this Policy and report improvements to the TSM when reviewing the policy.

Internal control systems and procedures will be subject to regular audits to provide assurance that they are effective in creating, maintaining and removing records.

Management at all levels are responsible for ensuring those reporting to them are made aware of and understand this Policy and are given adequate and regular training on it.

### **Learner/Student Records**

#### **Parental requests to see Educational Records**

Parents/Carers/those with parental responsibility, have a legal right to free access to their child's educational record (which includes most information about a learner/student) within 15 working days of receipt of a written request.

## Retention Schedule

FILE DESCRIPTION	RETENTION PERIOD
<b>Employment Records</b>	
Job applications and interview records of unsuccessful candidates	Six months after notifying unsuccessful candidates, unless the organisation has applicants' consent to keep their CVs for future reference. In this case, application forms will give applicants the opportunity to object to their details being retained.
Job applications and interview records of successful candidates	6 years after employment ceases
Written particulars of employment, contracts of employment and changes to terms and conditions	6 years after employment ceases
Right to work documentation including identification documents	6 years after employment ceases
Immigration checks	Two years after the termination of employment
DBS checks and disclosures of criminal records forms	As soon as practicable after the check has been completed and the outcome recorded (i.e. whether it is satisfactory or not) unless in exceptional circumstances (for example to allow for consideration and resolution of any disputes or complaints) in which case, for no longer than 6 months.
Change of personal details notifications	No longer than 6 months after receiving this notification
Emergency contact details	Destroyed on termination
Personnel records	While employment continues and up to 6 years after employment ceases (Limitation Act 1980)
Annual leave records	6 years after the end of tax year they relate to or possibly longer if leave can be carried over from year to year
Consents for the processing of personal and sensitive data	For as long as the data is being processed and up to 6 years afterwards

Working time regulations: <ul style="list-style-type: none"> <li>• Opt out forms</li> <li>• Records of compliance with WTR</li> </ul>	<ul style="list-style-type: none"> <li>• Two years from the date on which they were entered into</li> <li>• Two years after the relevant period</li> </ul>
Disciplinary records	6 years after employment ceases
Training	6 years after employment ceases or length of time required by the professional body
Staff, trustee and governor training where it relates to safeguarding or other child related training	Date of the training plus 40 years (This retention period reflects that the IICSA may wish to see training records as part of an investigation)
Annual appraisal/assessment records	Current year plus 6 years
Professional development plans	6 years from the life of the plan
Allegations of a child protection nature against a member of staff, trustee or governor, including where the allegation is founded	10 years from the date of the allegation of the person's normal retirement age (whichever is longer). This should be kept under review. Malicious allegations should be removed.
<b>Financial and Payroll Records</b>	
Pension records	12 years
Retirement benefits schemes – notifiable events (for example, relating to incapacity)	6 years from the end of the scheme year in which the event took place
Payroll and wage records	6 years after end of tax year they relate to (Taxes Management Act 1970; Income and Corporation Taxes 1988)
Maternity/Adoption/Paternity Leave records	3 years after end of tax year they relate to
Statutory Sick Pay	3 years after the end of the tax year they relate to
Current bank details	Until updated plus 3 years
Bonus sheets	Current year plus 3 years
Time sheets/clock cards/flexi-time	Current year plus 3 years
Pupil Premium Fund records	Date pupil leaves the provision plus 6 years
National Insurance (schedule of payments)	Current year plus 6 years (taxes Management Act 1970; Income and Corporation Taxes 1988)
Insurance	Current year plus 6 years (taxes Management Act 1970; Income and Corporation Taxes 1988)



Overtime	Current year plus 6 years (taxes Management Act 1970; Income and Corporation Taxes 1988)
Annual accounts	Current year plus 6 years
Loans and grants managed by the organisation	Date of last payment on the loan plus 12 years
All records relating to the creation and management of budgets	List of the budget plus 3 years
Invoices, receipts, order books and requisitions, delivery notices	Current financial year plus 6 years
Student Grant applications	Current year plus 3 years
Organisation's fund documentation (including but not limited to invoices, cheque books, receipts, bank statements etc.).	Current year plus 6 years
Free School/College meals registers (where the register is used as a basis for funding)	Current year plus 6 years
School/College meal registers and summary sheets	Current year plus 3 years
<b>Agreements and Administration Paperwork</b>	
Collective workforce agreements and past agreements that could affect present employees	Permanently
Trade Union agreements	10 years after ceasing to be effective
School/College Development Plans	3 years from the life of the plan
Visitors Book and Signing In Sheets	6 years
Newsletters and circulars to staff, trustees, governors, parents and pupils	1 year (and the School/College may decide to archive one copy)
Minutes of Senior Leadership Team meetings	Date of the meeting plus 3 years or as required
Reports created by the Head Teacher or the Senior Leadership Team	Date of the report plus a minimum of 3 years or as required
Records relating to the creation and publication of the School/College prospectus	Current academic year plus 3 years
<b>Health &amp; Safety Records</b>	
Health and Safety consultations	Permanently
Health and Safety Risk Assessments	Life of the risk assessment plus 3 years
Health and Safety Policy statements	Life of policy plus 3 years

Any records relating to any reportable death, injury, disease or dangerous occurrence	Date of incident plus 3 years provided that all records relating to the incident are held on personnel file.
Accident reporting records relating to individuals who are under 18 years of age at the time of the incident	Until the child reaches the age of 21
Accident reporting records relating to individuals who are over 18 years of age at the time of the incident	Accident book should be retained 3 years after last entry in the book, (Social Security (Claims and Payments) Regulations 1979; Social Security Administration Act 1992; Limitation Act 1980)
Fire precaution log books	Current year plus 3 years
Medical records and details of: <ul style="list-style-type: none"> <li>Control of lead at work</li> <li>Employees exposed to asbestos dust</li> <li>Records specified by the Control of Substances Hazardous to Health Regulations (COSHH)</li> </ul>	40 years from the date of the last entry made in the record (Control of Substances Hazardous to Health Regulations (COSHH); Control of Asbestos at Work Regulations)
Records of tests and examinations of control systems and protection equipment under COSHH	5 years from the date on which the record was made
Temporary and Casual Workers	
Records relating to hours worked and payments made to workers	3 years
<b>Governing Body Documents</b>	
Instruments of Government	For the life of the School/ College
Meetings schedule	Current year
Minutes – principal set (signed)	Generally kept for the life of the organisation
Agendas – principal copy	Where possible the agenda should be stored with the principal set of the minutes
Agendas – additional copies	Date of the meeting
Policy documents created and administered by the Governing Body	Until replaced
Register of attendance at full governing body meetings	Date of last meeting in the book plus 6 years

Annual reports required by the Department of Education	Date of report plus 10 years
Records relating to complaints made to and investigated by the governing body or head teacher	Major complaints: current year plus 6 years If negligence involved: current year plus 15 years If Child Protection or safeguarding issues are involved: current year plus 40 years
Correspondence sent and received by the governing body or the head teacher	General correspondence should be retained for current year plus 3 years
Records relating to the terms of office of service governors and trustees including evidence of appointment	Date of appointment plus 6 years
Register of business interests	Date appointment ceases plus 6 years
Records relating to the training required and received by governors and trustees	Date appointment ceases plus 6 years
Records relating to the appointment of a clerk to the governing body	Date on which clerk appointment ceases plus 6 years
Governor and trustees personnel files	Date of appointment ceases plus 6 years
<b>Learner/Student Records</b>	
Detail of whether admission is successful/unsuccessful	1 year from the date of admission/non-admission
Proof of address supplied by parents as part of the admissions process	Current year plus 1 year
Admissions register	Entries to be preserved for three years from date of entry
Pupil record	Until the child reaches the age of 25 (Limitation Act 1980)
Attendance registers	3 years from the date of entry
Correspondence relating to the absence (authorised or unauthorised)	Current academic year plus 2 years (Education Act 1996)
Special Educational Needs files, reviews and Educations, Health and Care Plan, including advice and information provided to parents regarding educational needs and accessibility strategy	Date of Birth of the pupil plus 31 years (Education, Health and Care Plan is valid until the individual reaches the age of 25 years – the retention period adds an additional 6 years from the end of the plan).

	(Children and Family's Act 2014; Special Educational Needs and Disability Act 2001)
Child protection information (to be held in a separate file)	DOB of the child plus 25 years the review. Note: These records will be subject to any instruction given by IICSA.
Exam results (learner/students copy)	(1-3 years from the date the results are released) there is no legal obligation to retain these, however, the time period must be justified and reasonable.
Examination Results (School/College's copy)	Current year plus 6 years
Allegations of sexual abuse	For the time period of an inquiry by the independent inquiry into child sexual abuse
Records relating to any allegation of a child protection nature against a member of staff, trustee or governor	Until the accused normal retirement age or 10 years from the date of the allegation (whichever is the longer)
Consents relating to School/College activities as part of UK GDPR compliance (for example, consent to be sent circulars or mailings)	Consent will last whilst the pupil attends the School/College.
Pupil's work	Where possible, returned to pupil at the end of the academic year (provided the School/College have their own internal policy to this effect). Otherwise, the work should be retained for the current year plus 1 year.
Mark books	Current year plus 1 year
Schemes of work	Current year plus 1 year
Timetable	Current year plus 1 year
Class record books	Current year plus 1 year
Record of homework set	Current year plus 1 year
Photographs of pupils	For the time the child is at the School/College and for a short while after. Please note select images may also be kept for longer (for example to illustrate history of the School/College)

Parental consent forms for School/College trips where there has been no major incident	End of the trip or end of the academic year (subject to a risk assessment carried out by the School/College)
Parental permission slips for School/College trips where there has been a major incident	Date of birth of the pupil involved in the incident plus 25 years. Permission slips for all the pupils on the trip should be retained to demonstrate the rules had been followed for all pupils.
Other Records	
Emails	2-5 years
CCTV	No more than one calendar month
Privacy notices	Until replaced plus 6 years
Inventories of furniture and equipment	Current year plus 6 years
All records relating to the maintenance of the School/College carried out by contractors or employees of the School/College	Whilst the building belongs to the School/College
Records relating to the letting of the School/College premises	Current financial year plus 6 years
Records relating to the creation and management of Parent Teacher Associations and/or Old Pupils Associations	Current year plus 6 years then review
Referral forms	While the referral is current
Contact data sheets	Current year then review, if contact is no longer active then destroy

### **Policy Impact** *(same statement at the end of all policies)*

We have a rolling programme for reviewing our Company policies. We regularly review the impact of our policies on the needs, entitlements and outcomes for students, service users, staff, trustees, governors and parents.